

# 1 Codon optimization improves the prediction of xylose metabolism 2 from gene content in budding yeasts

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23 similarity searches. DAO collected and analyzed growth rate data. RLN, KJF, & ALL performed  
24 statistical analyses. RLN, KJF, and CTH wrote the paper with input from all authors. KJF, ALL,  
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## 1 Abstract

2 Xylose is the second most abundant monomeric sugar in plant biomass. Consequently, xylose  
3 catabolism is an ecologically important trait for saprotrophic organisms, as well as a  
4 fundamentally important trait for industries that hope to convert plant mass to renewable fuels  
5 and other bioproducts using microbial metabolism. Although common across fungi, xylose  
6 catabolism is rare within Saccharomycotina, the subphylum that contains most industrially  
7 relevant fermentative yeast species. The genomes of several yeasts unable to consume xylose  
8 have been previously reported to contain the full set of genes in the *XYL* pathway, suggesting  
9 the absence of a gene-trait correlation for xylose metabolism. Here, we measured growth on  
10 xylose and systematically identified *XYL* pathway orthologs across the genomes of 332 budding  
11 yeast species. Although the *XYL* pathway coevolved with xylose metabolism, we found that  
12 pathway presence only predicted xylose catabolism about half of the time, demonstrating that a  
13 complete *XYL* pathway is necessary, but not sufficient, for xylose catabolism. We also found  
14 that *XYL1* copy number was positively correlated, after phylogenetic correction, with xylose  
15 utilization. We then quantified codon usage bias of *XYL* genes and found that *XYL3* codon  
16 optimization was significantly higher, after phylogenetic correction, in species able to consume  
17 xylose. Finally, we showed that codon optimization of *XYL2* was positively correlated, after  
18 phylogenetic correction, with growth rates in xylose medium. We conclude that gene content  
19 alone is a weak predictor of xylose metabolism and that using codon optimization enhances the  
20 prediction of xylose metabolism from yeast genome sequence data.

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## 22 Introduction

23 Xylose is the most abundant pentose sugar and the second most abundant monomeric  
24 sugar in plant biomass, second only to glucose. Xylose occurs in xylan polymers in  
25 hemicellulose; therefore, the ability to hydrolyze xylan and oxidize xylose for energy is a  
26 common trait in saprophytic fungi (Polizeli et al. 2005). Metabolic conversion of xylose is also a  
27 key process in the efficient conversion of lignocellulosic biomass into biofuels and other  
28 bioproducts via fermentation by industrially leveraged yeast species. Unlike filamentous fungi,  
29 native xylose assimilation appears to be a somewhat rare trait within budding yeasts.

30 *Saccharomyces cerevisiae* is the choice microbe for the industrial production of the vast  
31 majority of biofuels due to its high ethanol tolerance, high glycolytic and fermentative capacity,  
32 and amenability to genetic engineering (Hong and Nielsen 2012). However, *S. cerevisiae*  
33 requires genetic engineering to metabolize xylose, and even engineered strains are often

1 inefficient in the fermentation of lignocellulosic xylose (Osiro et al. 2019; S.-B. Lee et al. 2021;  
2 J.W. Lee et al. 2021; Sun and Jin 2021). This has led to the suggestion that cost-effective  
3 industrial conversion of xylose would be better achieved using native pentose-fermenting yeast  
4 species. One successful approach to identifying xylolytic species is the isolation of yeasts from  
5 xylose-rich environments, such as rotting logs and the guts of wood-boring beetles (Nguyen et  
6 al. 2006; Cadete et al. 2012; Urbina et al. 2013). Given that budding yeast genomes are  
7 increasingly available (Riley et al. 2016; Shen et al. 2018), a simpler means of identifying  
8 xylolytic yeasts through genome sequence data would facilitate the discovery of additional  
9 xylose-metabolizing yeasts.

10 The budding yeast xylose catabolism pathway was first described in *Cyberlindnera*  
11 *jadinii* and *Candida albicans* (Chiang and Knight 1960; Veiga et al. 1960; Chakravorty et al.  
12 1962), but most subsequent characterization has focused on xylose-fermenting genera,  
13 including *Scheffersomyces* and, more recently, *Spathaspora* (Verduyn et al. 1985; Kötter et al.  
14 1990; Cadete et al. 2016). The native enzymatic pathway consists of three genes: *XYL1*, *XYL2*,  
15 and *XYL3*. *XYL1* and *XYL2* encode a xylose reductase (XR) and xylitol dehydrogenase (XDH),  
16 respectively, which function in the oxidoreductive conversion of xylose to xylulose with xylitol as  
17 an intermediate. *XYL3* encodes a xylulokinase (XKS), which phosphorylates xylulose to  
18 xylulose-5-phosphate to be fed into the non-oxidative branch of the pentose phosphate  
19 pathway. The identification of yeasts with complete pathways that were nonetheless unable to  
20 grow on xylose in previous surveys suggests a weak or absent gene-trait association between  
21 complete *XYL* pathways and xylose assimilation traits (Wohlbach et al. 2011; Riley et al. 2016).

22 In addition to a complete *XYL* pathway, other genetic and regulatory features may be  
23 important in determining xylose metabolic traits. Most studies have focused on the role of redox  
24 imbalance, which is thought to be produced by the different cofactor preferences of XR and  
25 XDH due to their preferences for NADPH and NAD<sup>+</sup>, respectively (Bruinenberg et al. 1983). This  
26 hypothesis is supported by the observation that some well-studied yeasts that efficiently  
27 metabolize xylose have evolved XR enzymes able to use NADH in addition to or in lieu of  
28 NADPH (Bruinenberg et al. 1984; Schneider et al. 1989; Cadete et al. 2016). Recently, it has  
29 been suggested that changes to cofactor preference in methylglyoxal reductase (encoded by  
30 *GRE2*) may also alleviate redox imbalance in xylo-fermentative yeasts (Borelli et al. 2019).  
31 Additional properties, such as transporter presence or copy number and the expression of other  
32 metabolic genes, have also been implicated in xylose utilization (Wohlbach et al. 2011). It is  
33 difficult to say how broadly applicable any of these explanations may be because the presence  
34 of *XYL* genes in the absence of xylose catabolism has only been studied in a handful of related

1 yeast species. Thus, we do not know the extent of this lack of association across budding  
2 yeasts and whether other genome characteristics would enhance predictions concerning xylose  
3 metabolism.

4 The identification of some yeasts with complete *XYL* pathways that lack xylose  
5 assimilation suggests that xylose utilization may be much more difficult to predict based on gene  
6 content than many other metabolic traits, such as galactose utilization (Riley et al. 2016; Shen  
7 et al. 2018). An alternative strategy to predicting metabolic traits from gene content is evaluating  
8 specific metabolic genes for evidence of selection. Measuring selection on codon usage is one  
9 such approach. Among metrics developed to measure codon usage bias (Bennetzen and Hall  
10 1982; Sharp and Li 1987; Wright 1990), codon optimization captures how well matched  
11 individual codons are to their respective tRNA copy numbers in a given genome (Reis et al.  
12 2004). Accordingly, a codon with a low-copy corresponding tRNA is less optimized than a codon  
13 with a high-copy corresponding tRNA. The codon optimization index of a gene therefore  
14 measures the concordance between its transcript and the cellular tRNA pool and has repeatedly  
15 been shown to correlate with gene expression levels (Gouy and Gautier 1982; Duret and  
16 Mouchiroud 1999; Zhou et al. 2016). Recent work has shown that codon usage is under  
17 translational selection in most fungal species (Wint et al. 2022), including within budding yeasts  
18 (Labella et al. 2019). Studies examining the relationship between codon usage and metabolism  
19 in fungi have found that codon bias is elevated in genes encoding important metabolic pathways  
20 (Gonzalez et al. 2020), and further, that codon optimization of metabolic genes is predictive of  
21 growth in corresponding conditions (LaBella et al. 2021). Codon optimization of xylolytic genes  
22 has not been studied, but we hypothesize that it may be more useful than gene content in  
23 predicting which budding yeast species are well-adapted to xylose metabolism.

24 Here, we measure growth on xylose and systematically identify *XYL* pathway orthologs  
25 across 332 publicly available budding yeast genomes (Shen et al. 2018). In agreement with  
26 previous work, we find that an intact *XYL* pathway often does not confer xylose assimilation. We  
27 find multi-copy *XYL1* and *XYL2* lineages to be common, and we find support for the hypothesis  
28 that *XYL* gene copy number is important by showing that *XYL1* copy number coevolves with the  
29 ability to consume xylose. We then generate codon optimization indices for all *XYL* homologs  
30 and show that *XYL3* codon optimization is significantly correlated with the ability to consume  
31 xylose, while codon optimization of *XYL2* is significantly positively correlated with kinetic growth  
32 rates on xylose. Collectively, our analyses reveal two genomic properties, copy number of *XYL1*  
33 and codon optimization of *XYL2* and *XYL3*, that correlate with xylose metabolism and can be  
34 used as novel means of predicting xylolytic traits from genome sequence alone.

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## Results

### Identification of *XYL* homologs across 332 budding yeast species

We detected at least one of the three *XYL* pathway genes in 325 of 332 species (Fig. 1). Complete pathways were found in 270 species. We were unable to detect any *XYL* genes in seven species. Six of the seven species with no detected *XYL* homologs were the six representative species of the *Wickerhamiella/Starmerella* (W/S) clade, so it appears that the entire *XYL* pathway has been lost in this clade. *XYL1* and *XYL2* have evidence of gene duplications, losses, horizontal transfers, and multiple origins prior to the origin of Saccharomycotina, as well as within the budding yeasts. However, due to the sheer breadth of evolutionary distance in this group, confident elucidation of the complete gene history for these genes is intractable with current taxon sampling.

The phylogenies of *XYL1* and *XYL2* homologs were able to resolve previously ambiguous *S. cerevisiae* orthology (Figs. S1-S3). *GRE3* has known xylose reductase activity, but it has been annotated as a nonspecific aldo-keto reductase and believed to be distinct from the XR-encoding genes of xylose-fermenting yeasts (Kuhn et al. 1995; Träff et al. 2002; Toivari et al. 2004). We found definitive phylogenetic evidence that *GRE3* is a member of the XR-encoding gene family and is orthologous to the *XYL1* genes of more distantly related yeasts (Fig. S1). In contrast, *S. cerevisiae* is known to contain a *XYL2* homolog, but the function of *XYL2* has remained unclear given the inability of most *S. cerevisiae* strains to metabolize xylose. The nearly identical *S. cerevisiae* paralogs *SOR1* and *SOR2* also fell within the *XYL2* clade of the family Saccharomycetaceae. *SOR1* and *SOR2* are annotated as encoding sorbitol dehydrogenases and are upregulated in response to sorbose and xylose (Toivari et al. 2004) (Fig. S2).

The *XYL2* gene phylogeny showed more evidence of gene diversification and retention than was expected, given that species of the family Saccharomycetaceae are generally not able to use xylose as a carbon source. To further clarify *XYL2* evolution within the Saccharomycetaceae, we generated a maximum likelihood tree of the *XYL2* homologs within the Saccharomycetaceae and included *S. cerevisiae XDH1*, a gene encoding a xylitol dehydrogenase present in some wine strains (but not the S288C reference strain) that was previously identified as being sufficient for weak xylose utilization (Wenger et al. 2010). The resulting tree supports an ancestral duplication of *XYL2*, which produced two distinct paralogous lineages that we name the *SOR* lineage and the *XYL2* lineage based on the *S. cerevisiae*

1 paralogs contained therein (Fig. S3). The *XYL2* lineage homolog was preferentially retained by  
2 most Saccharomycetaceae species, while a handful retained only the *SOR* paralog, and a few  
3 retained both. The tree also supported a few subsequent duplications, including the lineage-  
4 specific duplication of *SOR1/SOR2* in *S. cerevisiae*. The phylogeny also showed that the *XDH1*  
5 gene identified in some wine strains of *S. cerevisiae* by Wenger et al. (Wenger et al. 2010) is  
6 orthologous to *S. cerevisiae SOR1/SOR2*, not to *S. cerevisiae XYL2*. The protein sequence is  
7 identical to the *Torulaspota microellipsoides SOR* homolog, further corroborating a known 65kb  
8 transfer from *T. microellipsoides* to the *S. cerevisiae* EC1118 wine strain and its relatives (Marsit  
9 et al. 2015).

### 11 **A complete *XYL* pathway is necessary, but not sufficient, for xylose catabolism**

12 The *XYL* pathway has been repeatedly shown to underlie xylose catabolism in focal  
13 budding yeasts, and no alternative pathways are known. Nonetheless, previous genomic  
14 surveys have turned up multiple taxa that possess complete pathways but are unable to  
15 catabolize xylose (Wohlbach et al. 2011; Riley et al. 2016). In agreement with these previous  
16 studies, we measured maximum growth rates in a minimal medium containing xylose as the  
17 sole carbon source for 282 of the 332 species examined and found that only 52% of species  
18 with complete pathways were able to grow on xylose (123/236, Fig. 1). To explicitly test for an  
19 evolutionary relationship between *XYL* pathway presence and xylose utilization, we used  
20 Pagel's (1994) method to test for a correlation between the two binary traits and found strong  
21 support for the coevolution of complete *XYL* pathways and xylose metabolism ( $p=1.1 \times 10^{-5}$ ,  
22 Table S1). Indeed, 235 of 236 species that exhibited growth in xylose medium contained  
23 complete pathways. Only *Candida sojae* appeared able to catabolize xylose while lacking a  
24 complete pathway, but this is likely attributed to an incomplete *C. sojae* genome, rather than  
25 true pathway absence (Shen et al. 2018). These data collectively demonstrate that a complete  
26 *XYL* pathway is necessary, but not sufficient, for xylose catabolism, which suggests that there  
27 may be other quantifiable genomic features that would enhance predictions of xylose  
28 catabolism.

### 30 ***XYL1* copy number is correlated with xylose metabolism**

31 Duplications and losses of enzyme-encoding genes are well-documented evolutionary  
32 modulators of metabolic activities (Kliebenstein 2008; Wolfe et al. 2015). *XYL1* and *XYL2* were  
33 frequently found as multi-copy in our dataset, so we next tested for a relationship between  
34 increased copy number and xylose metabolism. We scored yeast taxa as either multi-copy or

1 single-copy and again used Pagel's (1994) method to look for a correlation between xylose  
2 catabolism and copy number. Copy number of *XYL1* was significantly correlated with the ability  
3 to grow on xylose ( $p = 1.5 \times 10^{-4}$ , Fig. S4). The coevolutionary model with the most support  
4 assumed that the two traits were interdependent (weighted AIC = 0.51, Table S2), but a model  
5 in which growth depended on *XYL1* copy number was almost as strongly supported (weighted  
6 AIC = 0.48). Contrary to *XYL1*, coevolution between *XYL2* copy number and growth on xylose  
7 was not supported ( $p = 0.60$ , Table S3). We did not test for a correlation with *XYL3* copy  
8 number because only 4 species had multiple copies of this gene. As with gene content, the  
9 correlation between *XYL1* duplication and growth in xylose medium was not perfect; indeed,  
10 43% (20/46) of multi-copy lineages were unable to metabolize xylose. While these data point to  
11 a significant role of *XYL1* duplication in some taxa, we conclude that *XYL1* copy number alone  
12 is insufficient to explain yeast variation in xylose metabolic traits.

#### 14 ***XYL1* and *XYL2* are highly codon-optimized**

15 We next examined whether codon optimization of the *XYL* pathway genes to determine  
16 if codon optimization indices would be useful in predicting metabolic capabilities. Codon  
17 optimization indices (estAI values) of *XYL* pathway homologs were calculated for 320 of the 325  
18 species in which a *XYL1*, *XYL2*, or *XYL3* gene was detected. *XYL1* and *XYL2* estAI distributions  
19 were both heavily skewed with median estAI values of 0.94 and 0.83, which means these genes  
20 have a higher optimization than 94% and 83% of the coding genome of an individual species,  
21 respectively. *XYL3* estAI values were more variable with a lower median optimization index of  
22 0.55 (Fig. 2A).

23 To provide context to codon optimization index distributions for *XYL* genes, we  
24 compared them to the optimization indices of genes that function in glycolysis and the pentose  
25 phosphate pathway (Fig. 2B). The *XYL1* distribution was lower than the estAI distributions of  
26 highly expressed glycolytic genes (*FBA1*, *TPI1*, *TDH1*, *PGK1*, *GPM1*, *ENO1/ENO2*), but it was  
27 similar to *PGI1*, which encodes the glycolysis-initiating enzyme phosphoglucose isomerase.  
28 *XYL2* genes were less codon-optimized than most glycolytic genes, but interestingly, the *XYL2*  
29 estAI distribution was similar to the rate-limiting steps in glycolysis (*PFK1*) and the oxidative  
30 pentose phosphate pathway (*ZWF1*). *XYL3* was clearly less codon-optimized on average than  
31 genes involved in glycolysis or the pentose phosphate pathway.

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### 1 **Codon optimization of *XYL3* predicts xylose growth abilities**

2 The distributions of codon optimization indices for the three *XYL* genes in species able to  
3 grow in xylose medium were higher than the distributions of species showing no growth (Fig.  
4 3A). Because this difference could also be due to shared ancestry, we tested whether codon  
5 optimization of *XYL* genes was correlated with xylose utilization by using a Bayesian  
6 phylogenetic linear mixed model (GLMM) to control for shared evolutionary history. Using this  
7 model, only codon optimization of *XYL3* was significantly correlated with the ability to  
8 metabolize xylose ( $p_{\text{MCMC}} = 0.039$ ), while codon optimizations of *XYL1* and *XYL2* were not  
9 (Table S4).

### 11 **Codon optimization of *XYL2* correlates with xylose growth rates**

12 We have shown previously that codon optimization indices of specific genes involved in  
13 galactose metabolism not only predict whether a budding yeast species can utilize galactose,  
14 but can also be used to predict the rates of growth on galactose (LaBella et al. 2021). We  
15 similarly compared *XYL* gene codon optimization to growth rates measured in medium  
16 containing xylose as the sole carbon source to determine whether this trait would be useful in  
17 predicting yeast growth rates when consuming xylose. Phylogenetically independent contrasts  
18 (PICs) were used to compare  $\text{estAI}$  values and growth rates for the 93 species with complete  
19 pathways and for which there was previously published evidence of selection on codon usage  
20 (Labella et al. 2019). Of the three genes examined, only *XYL2* had a significant correlation  
21 between codon optimization and growth rate ( $p=9 \times 10^{-4}$ ,  $r=0.34$ ; Fig. 3B-C).

## 24 **Discussion**

25  
26 Xylose fermentation is an ecologically important trait of immense biotechnological value  
27 for the conversion of sustainable plant feedstocks into biofuels. This study identifies  
28 systematically *XYL* pathway homologs across a wide breadth of Saccharomycotina that  
29 includes representative species from all 12 major clades. While most genomes examined  
30 contain complete pathways, less than half of those species were able to assimilate xylose under  
31 laboratory conditions. This stands in contrast to other metabolic traits that have been  
32 investigated in yeasts that exhibit strong gene-trait associations (Riley et al. 2016; Shen et al.  
33 2018). For example, a survey of galactose metabolism across the same extensive collection of  
34 budding yeast species found that 89% of species with complete *GAL* pathways were able to use



1 galactose as a carbon source in the laboratory (LaBella et al. 2021). The poor ability of gene  
2 content to predict xylose-metabolism traits has been noted before in surveys of a small number  
3 of biotechnologically important yeasts (Wohlbach et al. 2011; Riley et al. 2016), but it was  
4 unclear whether this limited gene-trait association would apply broadly across budding yeasts.  
5 While complete pathways are found in all major yeast clades, xylose metabolism is variable;  
6 most CUG-Ser1 species are able to utilize xylose, assimilation shows up sporadically in most  
7 other clades, and it is completely absent in the Saccharomycetaceae. These patterns are  
8 consistent with previous observations (reviewed in Ruchala and Sibirny 2021).

9 One limitation of this study and a possible explanation for the poor correlation between  
10 genotype and phenotype is that xylose catabolism requires specific conditions. We analyzed  
11 only growth data generated in our assay under a single laboratory condition. For some species,  
12 our data conflict with data aggregated from species descriptions (Opulente et al. 2018). For  
13 other species, conflicting data also exist elsewhere in the literature. For example,  
14 *Kluyveromyces marxianus* did not grow in our 96-well plate assay but has been found to  
15 consume xylose in shake flasks (Margaritis and Bajpai 1982). Oxygenation, base media, and  
16 temperature have all been documented as affecting xylose metabolism in different yeast  
17 species (Signori et al. 2014; Osiro et al. 2019). Beyond condition dependence, intraspecific  
18 metabolic heterogeneity, such as is known to occur in *Kluyveromyces lactis* and *Torulaspora*  
19 *delbrueckii*, could also produce inconsistencies (Lyutova et al. 2021; Silva et al. 2022). A final  
20 reason why our data may conflict with pre-existing descriptions is historical human errors in  
21 species typing and identification (Haase et al. 2017). Our choice to confine our analysis to the  
22 data we directly collected from taxonomic type strains may have obscured growth in a few  
23 species, but in general, it eliminated the effects of inconsistent conditions and taxonomical error.

24 While it remains unclear why *XYL* pathway presence is not sufficient to confer xylose  
25 catabolism, the finding that most yeast species do, in fact, have intact *XYL* pathways has  
26 implications for industrial strain development at a time when researchers are actively searching  
27 for new candidate species. The first of these is that engineering xylose consumption in non-  
28 utilizing species will likely be more difficult than the simple heterologous expression of *XYL* gene  
29 cassettes. A second, more promising, implication is that most yeast species already have the  
30 genetic potential for xylose metabolism and could perhaps be coaxed into xylose utilization with  
31 adaptive laboratory evolution, mutagenesis, or a combination thereof.

32 Although we find pathway completeness alone to be insufficient for xylose assimilation,  
33 each of the three genes was found to have a property correlated with xylose metabolism.  
34 Increased copy number of *XYL1* and increased codon optimization of *XYL3* are important for

1 determining whether a species will consume xylose, while codon optimization of *XYL2*  
2 determines how efficiently xylose is converted to biomass. Of these, copy number has known  
3 relevance based on the observations that duplications and functional divergences of *XYL1* are  
4 consequential in xylose-fermenting yeasts (Bruinberg et al. 1984; Mayr et al. 2000; Cadete et al.  
5 2016), and that amplification of heterologous *XYL1* is a frequent mode of adaptation in  
6 engineered yeast populations evolved for xylose consumption in the lab (Li & Alper 2016; Peris  
7 et al. 2017). The present study confirms a statistically significant phylogenetic coevolutionary  
8 relationship between *XYL1* copy number and xylose metabolism. The relationship between  
9 *XYL1* amplification and xylose metabolism is unlikely to be a matter of simple flux; *XYL2*, not  
10 *XYL1*, is thought to be the rate-limiting step in xylose catabolism (Kim et al. 2012; Zha et al.  
11 2012; Ryu et al. 201). Instead, detailed studies of *XYL1* paralog pairs within the CUG-Ser1  
12 clade show divergence in cofactor preferences between paralogs (Bruinenberg et al. 1984;  
13 Cadete et al. 2016), which provides an attractive hypothesis in which duplicate *XYL1* genes  
14 resolve redox imbalance.

15 Both the *XYL1* and *XYL2* phylogenies generated show evidence of widespread  
16 duplication and loss. Despite evidence of xylitol oxidation to xylulose being the rate-limiting step  
17 in xylose degradation, *XYL2* copy number was not associated with xylose catabolism. The  
18 phylogenetic distribution of retained *XYL2* paralogs is curious. Given the seeming ecological  
19 irrelevance of xylose utilization in the Saccharomycetaceae, the diversification and retention of  
20 *XYL2* genes in this group lacks a clear explanation unless the primary function of *XYL2*  
21 homologs in this family is not in xylose catabolism. Several lines of evidence in the literature  
22 support this notion: 1) there is ample evidence that budding yeast XDH enzymes are  
23 promiscuous across polyols (Ko et al. 2006; Biswas et al. 2010; Biswas et al. 2013; Sukpipat et  
24 al. 2017), 2) the Xyl2 reverse reaction (reduction of xylulose to xylitol) is more energetically  
25 favorable by an order of magnitude (Rizzi et al. 1989), and 3) the strongest phylogenetic signal  
26 of *XYL* gene loss we observed was in the W/S clade of yeasts, which is a group of fructose-  
27 specializing yeasts that have evolved a novel means of reducing fructose to maintain redox  
28 balance (Gonçalves et al. 2019). Taken together, these data are suggestive of an alternative  
29 role of the *XYL* pathway, and *XYL2* in particular. Instead of supporting xylose utilization, XDH  
30 activity in these yeasts may be important for regenerating oxidized NAD<sup>+</sup> in certain growth  
31 conditions through the reduction of sugars, including xylulose, fructose, and mannose, to the  
32 polyols xylitol, sorbitol, and mannitol, respectively. Additional experimental work in the family  
33 Saccharomycetaceae is needed to determine if XDH activity plays a role in redox balance as  
34 hypothesized above, or perhaps functions in a yet-to-be-discovered process.

1           It was initially surprising to find that *XYL2* copy number does not co-vary with qualitative  
2 xylose consumption because *XDH* is considered a rate-limiting step, and overexpression often  
3 increases xylose fermentation rates in engineered strains (Jeppsson et al. 2003; Karhumaa et  
4 al. 2007). Instead, we found that *XYL2* codon optimization positively correlates with growth rates  
5 on xylose. The correlation between codon optimization and growth that we report supports the  
6 hypothesis that endogenous *XYL2* expression levels affect rates of xylose consumption in  
7 natively xylose-consuming yeasts. This optimization could be partly to overcome the  
8 unfavorable reaction kinetics and subpar substrate specificity mentioned above. Interestingly,  
9 the *XYL2* estAI distribution we observed was highly similar to that of rate-limiting steps of  
10 glycolysis (*PFK1*) and the oxidative pentose phosphate pathway (*ZWF1*), perhaps pointing to a  
11 general trend in genes encoding enzymes with rate-limiting or regulatory roles.

12           The codon optimization distribution of *XYL3* was much broader than the other two genes  
13 in the *XYL* pathway. There is little evidence that increasing xylulose kinase activity alone  
14 increases xylose pathway flux, and so the broad distribution we observe may simply reflect a  
15 lack of selection on *XYL3* gene expression. Nonetheless, only *XYL3* codon optimization was  
16 correlated with the actual ability to consume xylose. The finding that *XYL3* codon optimization is  
17 correlated with qualitative growth, but not quantitative growth rate, coupled with the broad  
18 distribution of codon optimization across species, suggests that there may be an important  
19 threshold of *XYL3* expression or that the phylogenetically corrected signal was simply not as  
20 strong as for *XYL2* in this dataset. The different distributions observed between the *XYL* genes  
21 could also be related to other correlates of codon usage selection, such as the evolutionary  
22 ages of the genes (Prat et al. 2009). Indeed, *XYL1* and *XYL2* are members of large and ancient  
23 gene families of aldo-keto reductases and medium-chain dehydrogenases, respectively, while  
24 *XYL3* does not appear to belong to a large fungal gene family.

25           Xylose metabolism cannot be predicted by gene content alone in budding yeasts. Here,  
26 we show that there is a significant predictive value of codon optimization in the detection of  
27 native xylose-metabolizing yeasts for two of the three genes required for xylose degradation.  
28 Xylose fermentation is a trait of great ecological and biotechnological interest, while being  
29 exceedingly rare. Instead of expending resources testing large sets of yeasts or their  
30 synthesized genes, copy number and codon optimization could be used to filter for candidate  
31 yeasts with a higher probability of containing highly xylolytic pathways. We also show that *XYL2*  
32 optimization has a linear relationship with growth rates on xylose. In the absence of growth or  
33 metabolic data, *XYL2* sequences can be used to predict which species are likely to catabolize  
34 xylose especially well. This work presents a novel framework of leveraging signatures of

1 selection, specifically codon optimization, for understanding weak and variable gene-trait  
2 associations and could be a valuable tool for understanding trait variation in other systems.

## 3 4 **Materials and Methods**

### 5 6 **Identification of *XYL1*, *XYL2*, and *XYL3* homologs**

7 We identified homologs of *XYL1*, *XYL2*, and *XYL3* across 332 published budding yeast  
8 genome assemblies (Shen et al. 2018) using Hidden Markov Model (HMMER) sequence  
9 similarity searches (v3.3 <http://hmmer.org>). HMM profiles were built using sequences retrieved  
10 from a BLASTp search using *Spathaspora passalidarum* *XYL1.1*, *XYL2.1*, and *XYL3*. Hits were  
11 manually curated to retain an alignment of fourteen sequences representing a phylogenetically  
12 diverse taxon set. HMMER searches were performed on protein annotations generated with  
13 ORFfinder (NCBI RRID:SCR\_016643) using default settings, which include nonconventional  
14 start codons. Sequences were later manually curated to confirm probable start sites (see  
15 below). We did not account for modified translation tables found in some yeast clades (CUG-  
16 Ser1, CUG-Ser2, and CUG-Ala clades (Shen et al. 2018)) because this codon is known to be  
17 rare (Labella et al. 2019).

18 HMMER searches for *XYL1* and *XYL2* both identified large gene families of aldose  
19 reductases and medium-chain dehydrogenases, respectively. To identify the *XYL* orthologous  
20 sequences, HMMER hits were assigned KEGG orthology with BLASTKoala (Kanehisa et al.  
21 2016), and approximate maximum likelihood trees of KEGG-annotated hits were built with  
22 FastTree v2.1.10 (Price et al. 2009) (Fig. S5-S6). Subclades containing *XYL* gene homologs  
23 based on KEGG orthology (*XYL1* - K17743, *XYL2* - K05351) were identified for *XYL1* and  
24 *XYL2*.

25 Coding sequences of homologs for all three genes were then manually curated.  
26 Probable start sites were identified using TranslatorX (Abascal et al. 2010), and sequences  
27 were trimmed or expanded accordingly. A combination of alignment visualization and collapsed  
28 tree inspection was used to identify highly divergent sequences that were then examined via  
29 BLAST; likely bacterial contaminants were removed. Maximum likelihood phylogenies of protein  
30 sequences for each of the three genes were built with IQTree (Trifinopoulos et al. 2016) using  
31 ModelFinder (Kalyaanamoorthy et al. 2017) automated model selection (*Xyl1*- LG+F+I+G4,  
32 *Xyl2*- LG+I+G4, *Xyl3*- LG+F+I+G4, Figs. S1-S2, S7) based on 1,000 bootstrap replications. An  
33 independent maximum likelihood tree of *Xyl2* protein sequences in the family  
34 Saccharomycetaceae with the addition of *S. cerevisiae* *Xdh1* originating from a wine strain

1 (Wenger et al. 2010) was generated using IQ tree with an LG+I+G4 substitution model and  
2 node support based on 1,000 bootstrap replications. Trees were visualized and annotated in  
3 iTOL (Letunic and Bork 2021).

## 4 5 **Growth assays**

6 All yeast strains used in growth experiments were first plated on Yeast Extract Peptone  
7 Dextrose (YPD) agar plates and grown until single colonies were visible. The plates were then  
8 stored at 4°C for up to a month. Single colonies were then cultured in liquid YPD for a week at  
9 room temperature on a culture wheel. After a week of growth, yeast strains were subcultured in  
10 96-well plates containing Minimal Medium with 1% glucose or 1% xylose and allowed to grow  
11 for a week at room temperature. The 96-well plates contained a 4 quadrant moat around the  
12 edge of the plate where 2mL of water was added to each quadrant. The addition of water to the  
13 plate prevents evaporation in the edge and corner wells, allowing for the whole plate to be  
14 utilized. After the initial week of growth on the treatments, all yeasts were transferred into fresh  
15 1% glucose or 1% xylose minimal medium and placed on a plate reader and stacker (BMG  
16 FLUOstar Omega). Plates were read every two hours for a week at OD600. All growth  
17 experiments were replicated three times. In each replicate, both the order of yeasts on the plate  
18 and order of sugars on the plate were randomized to alleviate plate effects. Growth rates were  
19 quantified in R using the package *grofit* (Kahm et al. 2010). Average growth rates were  
20 calculated across replicates for each species.

## 21 22 **Codon optimization**

23 Codon optimization indices of *XYL1*, *XYL2*, and *XYL3* homologs were determined as in  
24 LaBella et al. (LaBella et al. 2021). Species-specific codon optimization values ( $w_i$  values) for all  
25 codons were retrieved from (LaBella et al. 2019). For each ortholog analyzed, each codon was  
26 identified and assigned its species-specific  $w_i$  value. The codon optimization index (stAI) for  
27 each ortholog was then calculated as the geometric mean of  $w_i$  values for each gene. Five  
28 species in our dataset do not have corresponding  $w_i$  values due to software issues (LaBella  
29 2019) and were dropped from codon optimization analyses (*Middelhovenomyces tepae*,  
30 *Nadsonia fulvescens* var. *fulvescens*, *Spencermartinsiella europaea*, *Botryozyma*  
31 *nematodophila*, and *Martiniozyma abiesophila*). To compare codon optimization values between  
32 species, the gene-specific stAI value of each gene was normalized to the genome-wide  
33 distribution of stAI values for the respective species using the empirical cumulative distribution  
34 function. The resulting normalized codon optimization index (estAI value) is an estimate of the

1 genome-wide percentile of codon optimization for each gene (e.g. an estAI value of 0.95  
2 indicates a gene that is more optimized than 95% of genes in the genome). For species with  
3 multiple paralogs, including those derived from the whole genome duplication, only the gene  
4 with the highest estAI value was considered in further analysis.

5 Orthologs of glycolysis pathway genes (*CDC19*, *ENO1/ENO2*, *FBA1*, *GPM1*, *PFK1*,  
6 *PGI1*, *PGK1*, *TDH1*, *TDH2/TDH3*, *TPI1*) and pentose phosphate pathway genes (*GND1/GND2*,  
7 *RKI1*, *SOL3/SOL4*, *TAL1*, *TKL1/TKL2*, *ZWF1*) were identified using HMMER searches as  
8 described above with the exception of manual curation. Codon optimization for each gene was  
9 measured as described above. For species with multiple paralogs, only the maximum estAI  
10 value per gene per species was retained for analysis.

### 11 12 **Statistical analyses of growth data and codon optimization**

13 Pagel's (1994) tests were used to test for correlated evolution between binary growth  
14 traits and the binary traits of pathway completeness or multicopy genes. Growth was scored as  
15 present in all species exhibiting non-zero growth in xylose media and absent in species without  
16 detectable growth. *XYL* pathways were scored as complete in all taxa possessing at least one  
17 copy of *XYL1*, *XYL2*, and *XYL3* and incomplete when any of the three genes were absent. Taxa  
18 with two or more copies of *XYL1* or *XYL2* were scored as multi-copy, while taxa with only one  
19 copy were scored as single-copy. Tests were performed using the R package phytools (Revell  
20 2012).

21 A Bayesian phylogenetic linear mixed model was used to test the effect of codon  
22 optimization and binary growth traits using MCMCglmm with family set to "categorical" (Hadfield  
23 2010). Quantitative codon optimization indices were scaled to have a mean of 0 and standard  
24 deviation of 1. All three genes were combined in a single model with phylogeny as a random  
25 effect. Priors were set with an inverse-gamma prior with shape and scale equal to 0.001. The  
26 model was run with  $4 \times 10^7$  iterations, a burnin of  $10^5$  iterations, and a thinning interval of  $10^4$ .  
27 Chains were visually inspected and model convergence was assessed using Heidelberger and  
28 Welch's convergence diagnostic.

29 The effect of codon optimization on quantitative growth rates was tested separately for  
30 each gene using phylogenetically independent contrasts. To compare xylose growth rates to  
31 estAI values, we first retained data for only those species previously found to have evidence of  
32 genome-wide selection on codon usage (Labella et al. 2019). Two species had extremely high  
33 growth rates that did not appear to be artifactual (Fig. S8). Since phylogenetic independent  
34 contrasts are highly sensitive to outlier data, we removed these two species. For the remaining

1 93 species, growth rate was compared to codon optimization by fitting a linear model to  
2 phylogenetically independent contrast (PIC) values to account for phylogenetic relatedness. PIC  
3 values were generated using the ape package in R (Paradis and Schliep 2019). All other  
4 statistical analyses were performed using R stats v3.6.2.

5

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17

## 18 **Data availability**

19 Analyses were performed on the 332 published and publicly available assemblies analyzed in  
20 Shen et al. 2018. Codon optimization values were obtained from the figshare repository from  
21 LaBella et al. 2019 (<https://doi.org/10.6084/m9.figshare.c.4498292>). All data generated in this  
22 project, including curated *XYL* gene sequences, are available in the figshare associated with  
23 this manuscript (<https://figshare.com/s/fad503ccdd75ea53f38>).

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14

ACCEPTED MANUSCRIPT

## 1 Legends

2 **Figure 1. XYL pathway presence and xylose growth across a representative set of 332**  
 3 **Saccharomycotina species.** Major yeast clades are depicted by branch color. Presence of  
 4 XYL homologs is indicated by filled boxes. Complete pathways of XYL1, XYL2, and XYL3 were  
 5 found in 270 species. Species with non-zero growth rates in xylose medium are indicated by a  
 6 filled red circle, and species unable to assimilate xylose are indicated by an empty red circle.  
 7 Species without circles were not assayed for growth. Time-calibrated phylogeny from (Shen et  
 8 al. 2018).

9  
 10 **Figure 2. Distribution of codon optimization indices (estAI values).** A) Histograms of the  
 11 distribution of maximum estAI values among 320 of the 325 species for XYL1, XYL2, and XYL3  
 12 are shown. XYL1 genes were skewed towards highly optimized (blue), XYL2 estAI values were  
 13 somewhat less skewed (violet), and XYL3 estAI values were broadly distributed (magenta).  
 14 Median estAI values of 0.94, 0.83, and 0.55 were calculated for XYL1, XYL2, and XYL3,  
 15 respectively. B) XYL gene estAI distributions were compared to other carbon metabolism  
 16 pathways related to xylose metabolism. The XYL pathway (orange), in general, was less  
 17 optimized than glycolysis (blue) or either branch of the pentose phosphate pathway  
 18 (purple/green). Specifically, the XYL1 distribution was significantly lower than the estAI  
 19 distributions of highly expressed glycolytic genes (*FBA1*, *TPI1*, *TDH1*, *PGK1*, *GPM1*,  
 20 *ENO1/ENO2*), but it was similar to *PGI1*. XYL2 genes had estAI values similar to the rate-  
 21 limiting steps in glycolysis (*PFK1*) and the oxidative pentose phosphate pathway (*ZWF1*). XYL3  
 22 was less optimized on average than genes involved in glycolysis or the pentose phosphate  
 23 pathway (PPP).

24 **Figure 3. XYL3 codon optimization predicts the ability to metabolize xylose.** A) Boxplots  
 25 showing the distribution of estAI values for species unable to use xylose (left) compared to  
 26 those that can (right) for XYL1 (blue), XYL2 (violet), and XYL3 (magenta). \*, significant as  
 27 assessed by a Bayesian phylogenetic linear mixed model (GLMM) (Table S4). B-C)  
 28 Phylogenetically independent contrast (PIC) analyses of XYL1, XYL2, and XYL3 estAI in  
 29 relation to xylose growth. *Kodamaea laetipori* and *Blastobotrys adenivorans* were removed as  
 30 outliers prior to analyses. B) Codon optimizations of XYL1 and XYL3 did not correlate with  
 31 xylose growth rates. C) Codon optimization of XYL2 was significantly correlated with growth rate  
 32 in xylose medium ( $p=9 \times 10^{-4}$ ,  $r=0.34$ ).

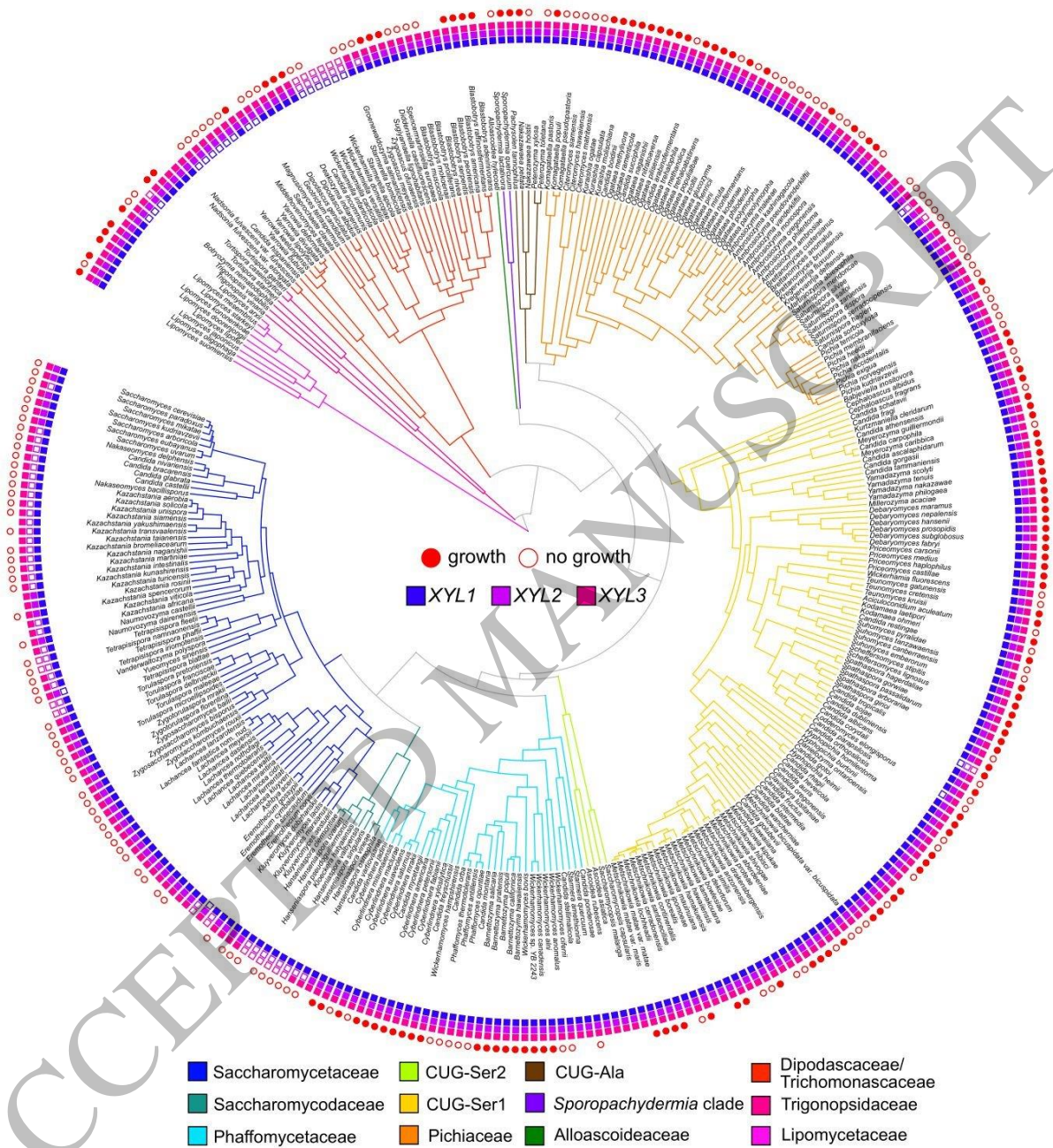


Figure 1  
165x182 mm (x DPI)

2  
3  
4  
5



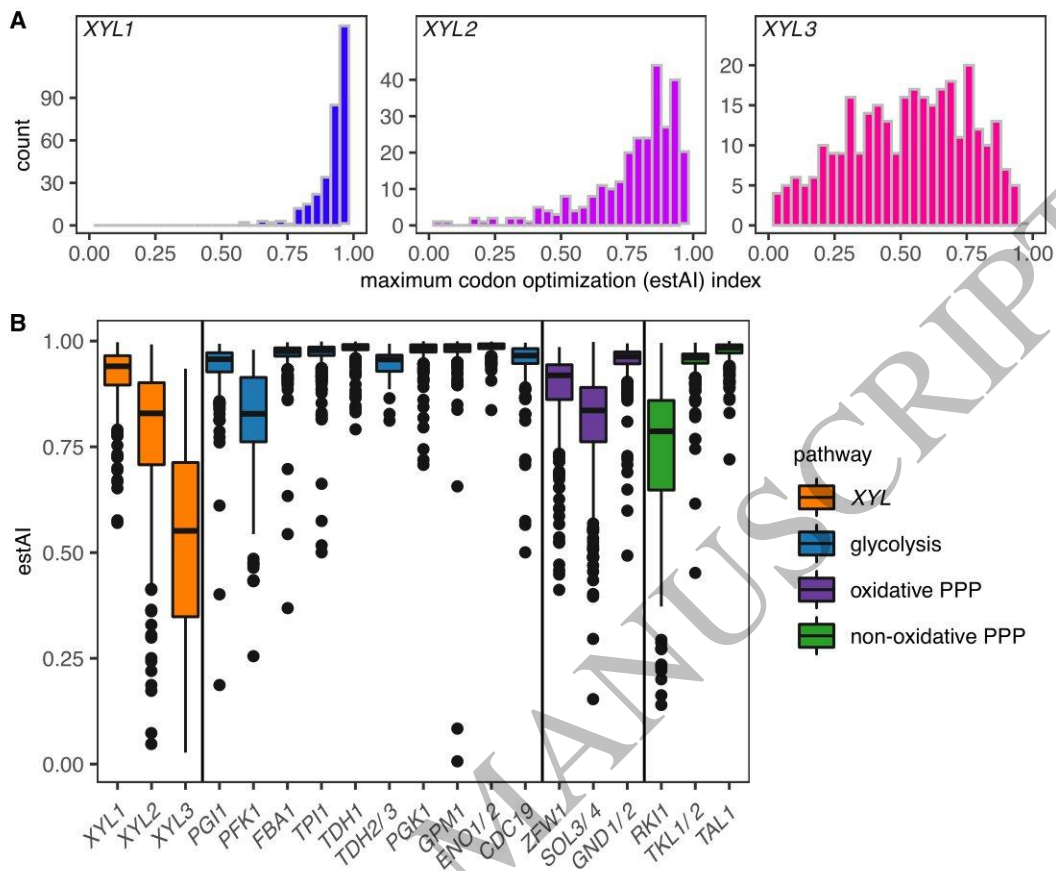


Figure 2  
141x122 mm (x DPI)

1  
2  
3  
4

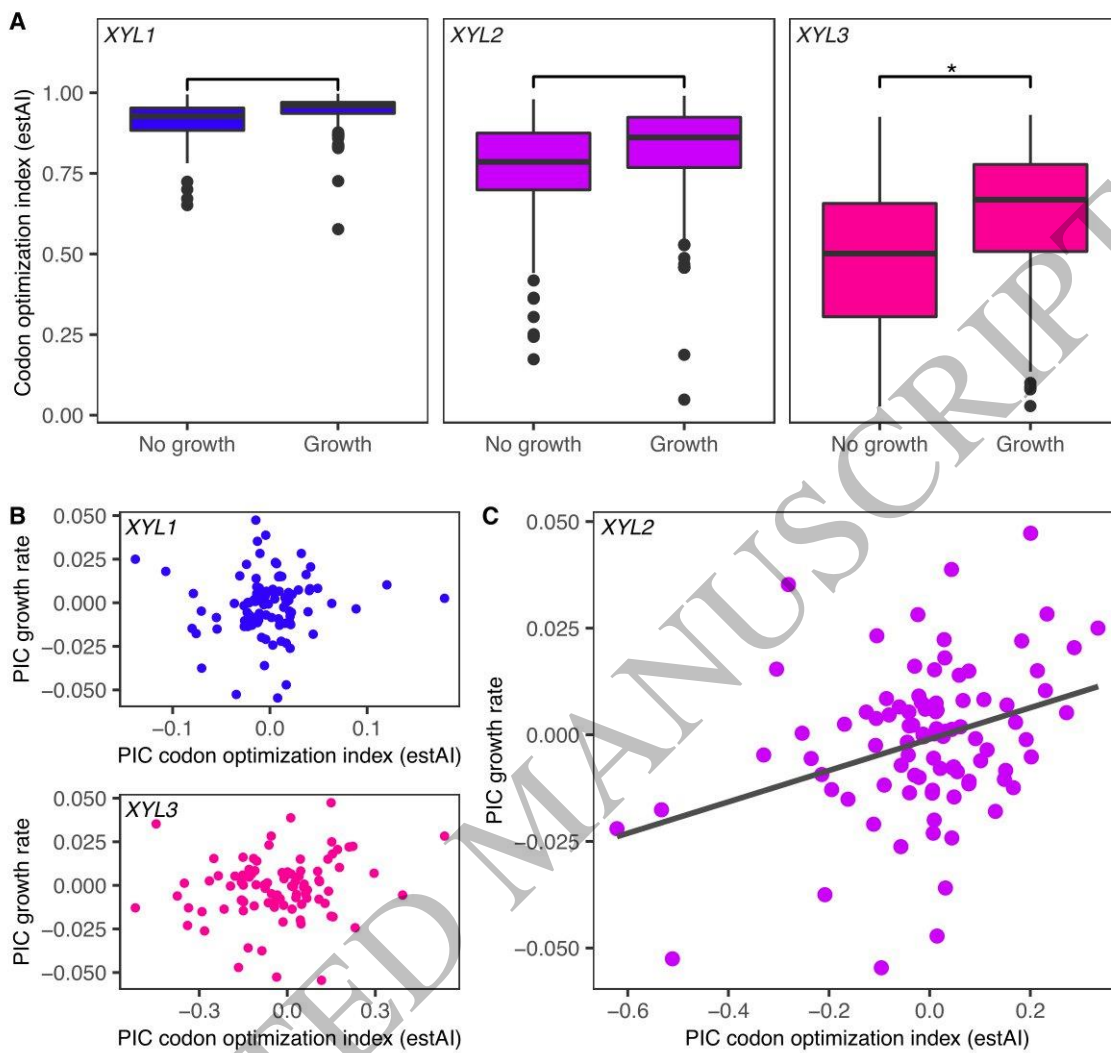


Figure 3  
151x141 mm (x DPI)

1  
2  
3